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Competing interactions modulate the activity of Sgs1 during DNA end resection

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Abstract

DNA double-strand break repair by homologous recombination employs long-range resection of the 5' DNA ends at the break points. In *Saccharomyces cerevisiae*, this process can be performed by the RecQ helicase Sgs1 and the helicase-nuclease Dna2. Though functional interplay has been shown, it remains unclear whether and how these proteins cooperate on the molecular level. Here, we resolved the dynamics of DNA unwinding by Sgs1 at the single molecule level and investigated its regulation by Dna2, the single-stranded DNA binding protein RPA and the Top3-Rmi1 complex. We found that Dna2 modulates the velocity of Sgs1, indicating that during end resection the proteins form a physical complex and couple their activities. Sgs1 drives DNA unwinding and feeds single-stranded DNA to Dna2 for degradation. RPA was found to regulate the processivity and the affinity of Sgs1 to the DNA fork, while Top3-Rmi1 modulated the velocity of Sgs1. We hypothesize that the differential regulation of the Sgs1 activity by its protein partners is important to allow diverse cellular functions of Sgs1 during the maintenance of genome stability.

Keywords: DNA repair/Dna2/homologous recombination/RecQ helicases/single-molecule

Introduction

The genome of eukaryotic cells is constantly damaged by environmental factors, by-products of the cellular metabolism as well as transactions of the DNA metabolism. Damages appear in a variety of forms, such as base lesions, cross-links between DNA strands or between DNA and proteins, as well as DNA single- and double-strand breaks (DSBs) (Mehta & Haber, 2014). To avoid genome instability (Symington, 2014), cells use a number of intricate mechanisms to repair DNA lesions. DSBs are usually repaired by either of two main mechanisms – non-homologous end-joining (NHEJ) and homologous recombination (HR) (Aparicio et al, 2014, Brandsma & Gent, 2012, Mao et al, 2008).

HR in vegetative cells mostly uses genetic information stored in the sister chromatids in order to allow largely error-free DSB repair (Mehta & Haber, 2014). This process is initiated by the resection of the 5' DNA end at the break point, such that a 3' overhang is created, which is immediately coated by the single-stranded DNA (ssDNA) binding protein replication protein A (RPA). In *Saccharomyces cerevisiae* (budding yeast), the long-range DNA end resection is driven either by the exonuclease Exo1 or by the helicase Sgs1 together with the helicase/nuclease Dna2, which function in a synergistic manner (Cejka, 2015). In human cells, this conserved pathway is catalyzed by the Sgs1 homologs BLM or WRN together with human DNA2 (Daley et al, 2017, Nimonkar et al, 2011, Sturzenegger et al, 2014, Thangavel et al, 2015). Sgs1 is a processive 3' to 5' helicase of the RecQ family (Cejka & Kowalczykowski, 2010, Sarlós et al, 2012). In contrast, Dna2 possesses a highly processive and strictly unidirectional 5' to 3' motor activity (Levikova et al, 2013, Pinto et al, 2016), which likely functions as a ssDNA translocase in resection to facilitate the degradation of DNA unwound by Sgs1 (Levikova et al, 2017, Miller et al, 2017). DNA degradation during 5' DNA end resection is accomplished by the barrel-shaped nuclease domain of Dna2 containing a central tunnel that encircles the ssDNA strand. The nuclease domain travels along DNA ahead of the helicase motor (Zhou et al, 2015). The action of the Sgs1 and Dna2 motors with opposite polarities provides an intriguing similarity to the RecBCD complex that powers DNA end resection in *E. coli*. RecBCD uses the anti-parallel helicase activities of the RecD and RecB subunits for DNA unwinding and the nuclease activity of RecB for DNA degradation (Spies et al, 2007). When reconstituting the DNA end resection reactions with the yeast proteins *in vitro*, a synergistic activity of Sgs1 and

Dna2 was observed (Cejka et al, 2010a, Niu et al, 2010). However, the underlying mechanism of this stimulation remained undefined. Both proteins fulfill a number of additional cellular functions, which suggests a much more flexible and dynamic situation compared to the stable RecBCD complex carrying out a single functionality. For example, Sgs1 is involved in other downstream processes of the HR pathway, including the dissolution of double Holliday junctions leading to non-crossovers as well as in the regulation of aberrant HR (Daley et al, 2014b, Oh et al, 2007). Dna2 is engaged in Okazaki fragment maturation (Bae et al, 2001a), processing of stalled replication forks (Thangavel et al, 2015, Hu et al, 2012) as well as in checkpoint signaling (Kumar & Burgers, 2013).

Previous biochemical studies have revealed that Sgs1, RPA and Dna2 represent the minimal group of proteins that is able to reconstitute DNA end resection (Cejka et al, 2010a, Niu et al, 2010). On the protein level, Sgs1 and RPA are known to interact via the large Rfa1 subunit that binds to the N-terminal acidic region of Sgs1, which is located next to the helicase domain (Hegnauer et al, 2012). DNA unwinding by Sgs1 does not require RPA but was found to be stimulated by this protein (Cejka et al, 2010a). In contrast, cognate RPA is essential for both the nuclease and the motor functions of Dna2 (Bae et al, 2003, Bae et al, 2001b). In mice, both proteins interact through the N-terminal domain of the Rfa1 subunit and the alpha1 and OB folds of the Dna2 N-terminus (Zhou et al, 2015). RPA prevents 3' end degradation by Dna2 and instead promotes 5' end degradation, enforcing thus the correct polarity of DNA end resection (Cejka et al, 2010a, Niu et al, 2010).

Although functional synergies and physical protein-protein interactions have been identified, it remains unclear how Sgs1 and Dna2 cooperate at the molecular level, and which functional steps are affected by these interactions. In order to gain insight into these processes we studied DNA unwinding by Sgs1 using magnetic tweezers. This technique allowed us to monitor the DNA processing by Sgs1 and its physiological interaction partners - including RPA, Dna2 and the Top3-Rmi1 complex - in real-time on the single-molecule level. Our data reveal how the DNA unwinding activity of Sgs1 is differentially and dynamically modulated by its cognate partners. This indicates that Sgs1 and Dna2 form together with RPA a functional and physical complex during the DNA end resection reaction. Overall, our study helps explain how Sgs1 activities can be fine-tuned to achieve diverse cellular functions.

Results

DNA unwinding by Sgs1. To study DNA unwinding by Sgs1 and its interaction partners we employed a magnetic tweezers assay (Levikova et al, 2013, Pinto et al, 2016). A 6.6 kbp dsDNA molecule was bound at one end to a magnetic bead and on the other end to the surface of the fluidic cell of the magnetic tweezers setup (Fig 1A). A short 38 nucleotide (nt) gap with a 40 nt 5' flap about 0.5 kbp away from the surface attachment supported the initiation of DNA unwinding by Sgs1 and Dna2. A pair of magnets above the fluidic cell was used to apply defined forces of 15 to 25 pN onto the magnetic bead and therefore to stretch the DNA. Video-microscopy was used to track the bead position and thus to monitor changes of the DNA length.

When Sgs1 in the presence of ATP was added into the fluidic cell, DNA unwinding was observed as a gradual increase of the DNA length due to a larger extension of ssDNA compared to dsDNA at the applied forces (Smith et al, 1996) (Fig 1B, Fig S1). Consistently, no DNA lengthening, i.e. no unwinding, was observed when omitting ATP or protein in the reaction (Fig S2). The unwinding rates followed a Gaussian-like distribution with a mean of 65 ± 2 bp/s (Fig 1C, upper panel), indicating within error a unique unwinding rate for Sgs1. DNA unwinding was frequently terminated by an abrupt DNA length decrease (blue sections in Fig 1B, lower panel) that reflects reziping, i.e. renaturation of the DNA duplex. Occasionally, the DNA reziping contained short sections of a slow DNA length decrease (24% of the DNA closing events, see orange sections in Fig 1B, lower panel). Since the rate of these sections was approximately constant and was comparable to the magnitude of the unwinding rate (Fig 1C, lower panel), we attribute these sections to helicase-driven DNA rewinding. We believe that in these cases Sgs1 translocated on the opposite ssDNA strand away from the Y-junction, and thus limited the rehybridization to the ssDNA translocation rate of the helicase. The single unwinding-reziping events typically occurred in bursts comprising several individual events followed by long pauses (Fig 1B, upper panel). This indicated that a burst was likely initiated by the binding of a single Sgs1 unit (a molecule or a complex), which subsequently originated all events of the burst until the protein finally dissociated. Alternatively, more than one protein unit could bind to form an active unwinding complex. The observed behavior is similar to that seen for BLM (Wang et al, 2015), WRN (Lee et al, 2018) and the likely BLM-homologue from *Arabidopsis thaliana*, AtRecQ2 (Klaue et al, 2013). For AtRecQ2, the

transition between unwinding and reziping most likely involves strand switching (Klaue et al, 2013). As a result the enzyme is bound in a more loosely state since it lacks the DNA junction in its wake (Klaue et al, 2013). The lowered affinity of the helicase to ssDNA causes then predominantly fast reziping, during which the enzyme is pushed by the rehybridizing junction. New DNA unwinding needs to be reinitiated by an additional strand switch. Due to the functional similarities between Sgs1, BLM and AtRecQ2 (Oh et al, 2007, De Muyt et al, 2012, Klaue et al, 2013), we suggest that Sgs1 also undergoes cycles of strand switches during repetitive DNA unwinding-reziping events, suggesting that this is a conserved characteristics of RecQ helicases.

DNA unwinding by Sgs1 in the presence of RPA. To systematically probe the influence of protein partners on the behavior of Sgs1, we first studied its DNA unwinding capacity in the presence of RPA. Compared to Sgs1 alone, the unwinding-reziping events appeared significantly altered when the reaction was supplemented with only 20 nM of the single-stranded DNA binding protein (Fig 2B). No fast DNA reziping events were observed, i.e. gradual DNA unwinding was exclusively followed by gradual DNA rewinding. Similarly to Sgs1 alone, events occurred in a repetitive, burst-like manner. The bursts were similarly separated by pauses (Fig 2B, upper panel), indicating that a single unwinding complex was likely driving the reaction.

The observed slow rewinding could result from either a limited velocity of Sgs1 translocating along the RPA-coated ssDNA strand away from the junction or due to the limited rate at which RPA dissociated from the junction ends. To reveal whether active translocation or passive dissociation caused the slow rewinding, we carefully characterized the unwinding and rewinding velocities in a force range between 10 and 35 pN. No force dependence was detected for neither of the two processes (Fig 2D). The mean rates for unwinding and rewinding were 51 ± 3 bp/s and 66 ± 3 bp/s, i.e. rather similar (Fig 2C). This is in contrast to the expected rates at which RPA gets dissociated by a reziping junction. Previous measurements found a strong exponential force dependence for such an RPA dissociation (see red curve in Fig 2D) (Kemmerich et al, 2016a). Therefore, DNA unwinding as well as rewinding is an active process that is driven by the Sgs1 helicase rather than the association and dissociation of RPA.

While the mean unwinding velocity by Sgs1 was marginally reduced in the presence of RPA compared to in its absence, the rate distribution markedly differed. In particular,

the presence of RPA caused a strong skew of the distribution with a maximum at 30-40 bp/s (Fig 2C) in contrast to the Gaussian distributed rates in the absence of RPA. A similarly skewed distribution was observed for DNA rewinding (Fig 2C). The shift of the rates towards lower values could be due to RPA acting as a roadblock in the way of Sgs1. However, this should only affect rewinding as RPA does not bind dsDNA. We therefore attribute the skewed rate distributions to a direct interaction between Sgs1 and RPA, in which the RPA-bound form of Sgs1 has a slower and more variable unwinding/translocation rate. This conclusion is supported by the observation that an Sgs1 fragment (641-1215) containing only the helicase core but no specific RPA binding site (Hegnauer et al, 2012, Bennett et al, 1998) did not exhibit a shift of the unwinding velocities towards lower values in the presence of RPA (Fig S3). A similar skew was also observed at elevated RPA concentrations (Fig EV2A), suggesting that Sgs1 populations that differ in the number of bound RPA molecules are not the primary reason for the observed rate distributions. Overall, our conclusions are consistent with previous work, which demonstrated RPA binding region on Sgs1 (Hegnauer et al, 2012) that could modulate Sgs1 behavior.

DNA processing by the combined activity of Sgs1 and Dna2. Next, we set out to investigate the complete minimal DNA end resection reaction that included Sgs1, RPA and Dna2. First, we tested DNA unwinding by Dna2 in the presence of RPA but in the absence of Sgs1. The Dna2 unwinding activity requires a 5' ssDNA flap as present in our DNA substrate (Fig 1A). For wt Dna2, the nucleolytic degradation of such a flap effectively inhibits DNA unwinding by the Dna2 motor (Levikova et al, 2013) (Fig S4). As expected, processive DNA unwinding was observed with the nuclease-dead Dna2 E675A mutant (Fig 3B, inset and Fig S4C) (Levikova et al, 2013). Since the nuclease domain encircles the 5'-terminated ssDNA strand (Zhou et al, 2015), dissociation or strand switching of Dna2 should be unlikely. In agreement with this model, the observed DNA unwinding by Dna2 was completely unidirectional (Levikova et al, 2013, Pinto et al, 2016), i.e. no DNA rewinding was observed. The unwinding rates by Dna2 were highly variable between the individual enzyme molecules ranging from 15 to 160 bp/s (Fig S4D) (Levikova et al, 2013).

After assaying the activity of Dna2 alone, we studied the minimal reconstituted DNA end resection reaction in the presence of Sgs1, wt Dna2 and RPA in our magnetic tweezers setup. Notably, in contrast to wt Dna2 in the absence of Sgs1, significant

DNA unwinding over kpb distances was observed (Fig 3B, EV1A). The trajectories exhibited a combination of the unwinding patterns of both helicases when investigated individually. Particularly, the typical Sgs1 patterns of alternating sections of DNA unwinding and rewinding were detected. In contrast to reactions with Sgs1/RPA alone, we observed a gradual increase of a baseline DNA unwinding, i.e. Sgs1 was not capable to fully rewind the full-length DNA anymore in the presence of Dna2 (Fig 3B). This indicated that Dna2 was progressively moving along the 5' DNA end, which was limiting the translocation of Sgs1 along this strand when moving backwards. The approximate translocation/unwinding/DNA degradation distance is then obtained by connecting the lower turning points of Sgs1 in the trajectories (see dashed lines in Fig 3B and Fig EV1A).

The limited DNA rewinding by Sgs1 may be due to Dna2 physically blocking DNA reannealing or due to DNA degradation. To clarify the underlying mechanism, we next examined the nuclease-dead Dna2 E675A, the helicase-dead Dna2 K1080E as well as the double-dead mutant (i.e. nuclease- and helicase-dead Dna2) in combination with Sgs1 and RPA (Fig EV1B-EV1D). Surprisingly, all three Dna2 variants promoted similar progressive DNA unwinding overlayed by short Sgs1 unwinding-rewinding cycles as observed with wt Dna2. For the nuclease-dead variant, progressive ssDNA translocation by the helicase motor on the 5' strand could block DNA rewinding by Sgs1. When the excess enzyme (wt or mutant) was removed from the flow cell and the complex was additionally challenged with 3 M NaCl after an unwinding reaction, the DNA remained unwound (i.e. no re-zipping occurred, see Fig S5). This supports our conclusion that Dna2 can form an irreversible road block for Sgs1 translocating backwards on the opposite, 5'-terminated ssDNA strand. In this model, the nuclease domain of Dna2 encircles ssDNA, which prevents its dissociation even at high salt, in agreement with biochemical experiments and structural data (Zhou et al, 2015, Kao et al, 2004). For the helicase-dead mutant, progressive degradation of the 5' DNA end by its nuclease domain would prevent DNA rewinding by Sgs1 and thus also explain the progressive DNA unwinding. While the partial catalytic activity of the single mutants could explain a directional motion of Dna2 along the 5' ssDNA end, progressive unwinding was surprisingly also seen with the catalytically dead double mutant (helicase and nuclease-dead). One possibility to explain this observation is an external Dna2 binding to the unwound 5' ssDNA at a random position, which would block rewinding. This mode of DNA binding would be distinct from the threading of

Dna2 onto ssDNA as inferred from previous studies (Zhou et al, 2015, Kao et al, 2004). Nevertheless, to exclude this possibility, we mechanically unwound DNA in the presence of the Dna2 double-dead mutant (Fig EV1E, EV1F). When allowing DNA rezipping at lower forces, the DNA became always fully rewound, i.e. the Dna2 could not serve as a block to rewinding without Sgs1. We therefore hypothesize that even in the absence of catalytic activity, Dna2 can act as a ratchet-like road block whose directionality and movement is facilitated by the physical interaction with Sgs1 (see discussion). The dispensability of the helicase and/or the nuclease activity for promoting progressive unwinding is corroborated by the observation that the unwinding processivity was not altered between helicase-dead Dna2 in isolation and the different Dna2 variants in combination with Sgs1 (Fig S6).

When inspecting the rates of the Sgs1 unwinding and rewinding cycles in reactions containing wt Dna2, we found a Gaussian-like distribution for both unwinding and rewinding, with significantly reduced skews compared to Sgs1 in the presence of RPA (Fig 3C, Fig EV2B). This suggests that Sgs1 is likely directly interacting with Dna2 both during unwinding and rewinding such that it can alleviate the inhibitory activity of RPA on the Sgs1 unwinding velocity. Since rewinding included only slow events, we conclude that RPA is still interacting with Sgs1, such that a ternary complex of the three different proteins is formed. Formation of a ternary complex is also supported by the observation that RPA is essential for the progressive unwinding (Fig S7). Overall, these data show that when both proteins act simultaneously during the end resection reaction, they unwind/translocate along DNA at different velocities. The modulation of the Sgs1 velocity by Dna2 supports the notion that the activities of the two enzymes are physically and functionally coupled.

DNA unwinding by Sgs1 in complex with Top3-Rmi1. It is well established that Top3-Rmi1 forms a complex with Sgs1 via interaction at the far end of the N-terminus of Sgs1 (Fricke et al, 2001). Top3-Rmi1 cooperates with Sgs1 in DNA end resection (Daley et al, 2014a, Fasching et al, 2015) as well as in the dissolution of double Holliday junctions (Fasching et al, 2015, Kaur et al, 2015). Generally, Top3-Rmi1 is known to stimulate the rates of Sgs1 unwinding and DNA resection in biochemical assays (Cejka et al, 2010a), however the underlying mechanism remained unclear. In order to study how the complex formation with Top3-Rmi1 affects the activity of Sgs1, we conducted a set of experiments using our magnetic tweezers assay.

We first tested the activity of Top3-Rmi1 alone on our flapped DNA substrate. Surprisingly, we observed step-wise increases of the DNA length of ~8 nm length (Fig EV3B, EV3C). This activity required the presence of a single stranded region on the DNA substrate. We attributed these shifts to the ssDNA cleavage activity of the type 1A topoisomerase Top3 (Dekker et al, 2002). Upon DNA cleavage Top3 adopts an open form, and remains covalently attached to 5'-end of cut strand (Bocquet et al, 2014, Mills et al, 2018). This can result in the formation of an elongated Top3-DNA chain (Fig EV3A).

When testing Sgs1 and Top3-Rmi1 together, we observed the step-wise length increases due to the likely formation of the Top3-DNA bridges, as well as the typical saw tooth-like pattern from DNA unwinding by Sgs1 (Fig EV3D). Sgs1 unwinding could be clearly discriminated from Top3-Rmi1 bridge formation and occurred in a burst-like manner. Individual unwinding events comprised a gradual unwinding and typically an abrupt re-zipping of all unwound DNA as seen for Sgs1 alone (Fig 4B). The complex formation with Top3-Rmi1 reduced the fraction of partial rewinding events from 24% to 5%. The unwinding velocities were Gaussian-like distributed and were more than 30% faster for the Sgs1-Top3-Rmi1 complex as compared to Sgs1 alone (86 ± 3 bp/s instead of 65 ± 2 bp/s, see Fig 4C).

When studying DNA unwinding by the Sgs1-Top3-Rmi1 complex in the presence of RPA, no sudden length increases due to the formation of Top3-DNA bridges were observed. This indicates that RPA protects ssDNA from the cleavage activity of Top3-Rmi1. Gradual DNA unwinding was followed by gradual DNA rewinding as also seen for Sgs1 and RPA alone (Fig 4E). Taken together, the unwinding and rewinding velocities in the presence of Top3-Rmi1 were higher for Sgs1 with RPA by 29% (66 ± 2 bp/s instead of 51 ± 3 bp/s) and 6% (70 ± 4 bp/s instead of 66 ± 3 bp/s), respectively. This suggests that Top3-Rmi1 generally accelerates the motion of Sgs1 on DNA. Most importantly, the distribution of the unwinding and rewinding velocities was Gaussian-like and significantly less skewed compared to Sgs1 and RPA alone (Fig 4F, Fig EV2B). This provides an independent control for complex formation between Sgs1 and Top3-Rmi1, which is similar to the reactions with Sgs1 and Dna2, for which an unskewed velocity distribution was also obtained.

Top3-Rmi1 is known to stimulate the activity of Sgs1 in particular at elevated salt concentrations (Cejka et al, 2010a). To test this in our experiments, we challenged DNA unwinding by Sgs1 with “high salt” conditions (100 mM NaCl, 5 mM Mg^{2+}), which

is close to physiological ionic strength. Independent of the presence of Top3-Rmi1, no DNA unwinding by Sgs1 was observed in the absence of RPA (Fig S8). When supplementing Sgs1 with RPA, similar unwinding-rewinding events as found for our standard reaction condition were observed (Fig EV4A). At 20 nM RPA, the velocity distribution of Sgs1 was however little skewed (Fig EV4B, gray bars). Complexes between RecQ helicases and RPA (Brosh et al, 2000, Machwe et al, 2011) are known to be rather stable. However, electrostatic interactions can become screened at elevated salt concentrations (Perez-Jimenez et al, 2004), which can effectively increase the dissociation constant of the interaction. In agreement with this hypothesis, the Sgs1 unwinding velocity was found to be highly skewed in the presence of 200 nM RPA (Fig EV4B, dark blue bars). It also did not exhibit any significant force dependence (Fig EV4C). Addition of Top3-Rmi1 to the reaction (Fig EV4D) reduced the skew in the velocity distribution, indicating complex formation with Sgs1. Furthermore, the mean velocity in the presence of Top3-Rmi1 was increased by 31% (77 ± 4 bp/s instead of 59 ± 2 bp/s). Given that RPA is an abundant protein, these results indicate that the observed velocity modulations by addition of RPA and Top3-Rmi1 are relevant at physiological salt concentrations and that Top3-Rmi1 serves as a general accelerator of the Sgs1 motor activity.

RPA promotes recruitment and processivity of DNA unwinding by Sgs1. So far, we analyzed the DNA unwinding and rewinding velocities by Sgs1. However, in addition to an overall DNA unwinding, observed *in vivo* or in a test tube, other parameters of the whole reaction - including the rate of recruitment to the DNA template, the burst duration and the processivity of unidirectional unwinding and rewinding - play an important role.

When analyzing the pause durations between individual bursts (see Fig 1B, 2B top panels), which are the inverse of the recruitment rate (Fig 5A, Fig EV5A), we found longer pauses at high salt compared to standard reaction conditions. Overall, we did not see significant differences between the absence or the presence of the cofactors RPA and Top3-Rmi1. Thus, at standard reaction conditions neither RPA nor Top3-Rmi1 seem to contribute to the recruitment of Sgs1. At high salt conditions RPA was essential for activity (Fig S9), i.e. recruitment, while Top3-Rmi1 had only little influence. The influence of cofactors was different when analyzing the mean duration of the full unwinding bursts comprising many individual unwinding events (Fig 1B, 2B

top panel). In the absence of RPA burst durations were only 32 s on average but could exceed 200 s in the presence of RPA (Fig 5A, Fig EV5A). Top3-Rmi1 had little influence on the burst duration. Thus, RPA is a major determinant for the affinity of Sgs1 to the unwinding fork in agreement with the formation of a Sgs1-RPA complex. Finally, we analyzed the processivity of DNA unwinding by Sgs1. This can be either presented as the time during which Sgs1 is unidirectional unwinding without direction reversal (Fig 5B) or as a number of base pairs that are unwound during this time interval (Fig 5C). The addition of RPA to the reaction had the most significant influence, which increased the duration of continuous unwinding 3 to 6-fold and the processivity 2 to 3.5-fold. Top3-Rmi1 increased the processivity more moderately and only at normal reaction conditions. Altogether, these data reveal that RPA modulates the recruitment at high salt, the affinity to the unwinding fork as well as the processivity of DNA unwinding by Sgs1.

Discussion

In this study, we characterized how DNA unwinding by the yeast RecQ helicase Sgs1 is modulated by protein cofactors that cooperate with it during DNA end resection. We showed that a single Sgs1 protein complex can drive processive DNA unwinding over hundreds of base pairs (Fig 1). DNA unwinding by Sgs1 was found to be highly dynamic, involving many repetitive unwinding events separated by either rapid DNA rezipping or slower DNA rewinding. Sgs1 shares this highly dynamic activity pattern with other RecQ family helicases from prokaryotes (Bagchi et al, 2018, Harami et al, 2017) and eukaryotes (Wang et al, 2015, Lee et al, 2018, Klaue et al, 2013). It is thought that the switching between unwinding and rewinding involves repeated strand switching events to allow direction reversals of the helicase (Klaue et al, 2013). In the absence of any cofactor, the unwinding velocity of Sgs1 had a narrow distribution. In the presence of RPA the distribution became rather broad and strongly skewed. Furthermore, only slow DNA rewinding due to active translocation by Sgs1 rather than fast rezipping was observed in the presence of RPA. We attributed this changed behavior to the formation of a Sgs1-RPA complex. The broad distribution of the Sgs1 velocities with RPA may be due to multiple binding states of RPA that modulate the Sgs1 behavior in a different manner (Hegnauer et al, 2012).

When reconstituting the DNA end resection reaction by combining Sgs1, RPA and Dna2, we observed that Sgs1 continued its dynamic DNA unwinding-rewinding activity including frequent direction reversals. In addition, the presence of Dna2 promoted a progressive overall unwinding, i.e. rewinding events did not succeed to close the full DNA duplex, but rather terminated away from the original flap position at a distance that increased with time (Fig 3, Fig EV1). This observed behavior is in agreement with the stringent unidirectional DNA unwinding of Dna2 (Levikova et al, 2013, Pinto et al, 2016) (see inset in Fig 3), combined with progressive degradation of the DNA 5' end. Thus, the unwinding activity of Sgs1 and the unwinding/degradation activity of Dna2 occur at different velocities. Interestingly, when analyzing the Sgs1 velocities during DNA end resection, we observed that the distributions lost the pronounced skew observed in the presence of RPA. This indicates that the unwinding activity of Sgs1 is to some degree coupled to Dna2 and that Sgs1 directly interacts with Dna2. This is in agreement with previous biochemical data that revealed that Sgs1 and Dna2 can directly physically interact with each other (Cejka et al, 2010a). The direct interaction

appears to alleviate the inhibitory effect of RPA on the unwinding velocity, either by disrupting Sgs1-RPA contacts or by allosteric means. Since Dna2 requires RPA for correct loading onto the 5' end (Zhou et al, 2015), and since the rewinding events of Sgs1 were exclusively slow during end resection, we propose that RPA is still part of the formed DNA end resection machinery, forming a ternary complex. The stoichiometry of this complex remains to be determined. We hypothesize that it contains a single Dna2 (Zhou et al, 2015), a monomer or a dimer of Sgs1 (Cejka et al, 2010a) and a low number of RPA molecules. The latter may also make contacts to the unwound ssDNA and may be dynamically exchanged, e.g. be loaded or removed to or from ssDNA.

Since only Sgs1 can unwind dsDNA, and the average speed of the Sgs1 motor is about two times higher compared to that of Dna2 (Levikova et al, 2013), Sgs1 is the main factor for DNA unwinding, while Dna2 is trailing behind on the 5' ssDNA end. Dna2 movement along the unwound ssDNA is powered by its motor activity (Levikova et al, 2017, Miller et al, 2017). Thus, a loop has to form in front of Dna2 (Fig 6). A similar loop formation has been found for the prokaryotic RecBCD complex (Dillingham et al, 2003), indicating that similar mechanisms can also exist in eukaryotic cells. A loop forming ahead of Dna2 would allow the binding of RPA to the unwound DNA behind Sgs1, which was shown to specifically promote degradation of the 5'-terminated strand by Dna2 (Cejka et al, 2010a, Niu et al, 2010). A loop forming ahead of Dna2 thus explains how the regulatory function of RPA can be achieved. Next, Sgs1 occasionally switches strands and actively rewinds DNA, thus backtracking towards the slower moving Dna2 molecule. When Sgs1 encounters Dna2 it switches back again to DNA unwinding. What can be the reason for such a switching behavior? We hypothesize that the strand switching activity serves to limit the DNA unwinding by Sgs1, such that the ssDNA loop is not extensively long and prone to unscheduled cleavage. Furthermore, Dna2 is sensitive to obstacles on DNA such as secondary structures or protein blocks, which stall DNA degradation (Levikova et al, 2013, Balakrishnan et al, 2010). Sgs1, moving periodically toward Dna2, might help resolve these structures. Finally, Sgs1 has a function to promote the dissolution of double Holliday junctions in the late stage of the canonical DSB repair pathway (Cejka et al, 2010b), which is separate from its role in DNA end resection. To do so, it needs to migrate, in conjunction with Top3-Rmi1, the two Holliday junctions toward each other. However, it was not apparent how the direction of the junction migration by Sgs1 is

determined, as only convergent migration (i.e. the migration of the junctions toward each other) dissolves the entangled chromosomes (Cejka et al, 2010b). It has been speculated that chromatin may serve as a barrier to block junction migration in the "wrong" direction. This, coupled with the random switching of Sgs1 movement, would result in a "random walk" mechanism of junction migration, which would ultimately lead to convergence of the both junctions. Thus, the switching behavior of Sgs1 may be also relevant for processes separate from DNA end resection.

We observed that even the helicase- and nuclease-dead double mutant of Dna2 could promote a progressive DNA unwinding in the presence of Sgs1. This behavior was not seen when DNA was unwound in the absence of Sgs1 (Fig EV1E, EV1F), i.e. it must have been promoted by a direct interaction between Sgs1 and the double mutant of Dna2. We propose that Dna2, even without any catalytic activity, functions as a ratchet on ssDNA, i.e. it would be easily movable along ssDNA in the 5' to 3' direction but barely displaceable by Sgs1 backwards in the 3' to 5' direction. Notably, an electrostatic ratchet mechanism has been suggested for the nuclease domain to allow progressive degradation of the 5' DNA end (Zhou et al, 2015, Zhang et al, 2011). To allow progressive unwinding, a passive ratchet would still require an external energetic bias or an external force. We hypothesize that this could be provided from the coupling to Sgs1 and the limited conformational entropy of the forming RPA-bound loop. We note that the hypothesized ratchet mechanism warrants further future investigations. We also determined the effect of the known interaction partner Top3-Rmi1 on DNA unwinding by Sgs1. Most importantly, we found that in the presence of RPA, the histograms of the Sgs1 unwinding and rewinding velocities exhibited significantly reduced skews (Fig 4F) compared to the presence of RPA only. Similarly, the interaction with Top3-Rmi1 appeared to alleviate the inhibitory effect of RPA on the unwinding velocity. Additionally, we observed that the velocity of Sgs1 was increased in the presence of Top3-Rmi1 regardless of RPA (Fig 4C, 4F) or the ionic strength (Fig EV4E). An increased unwinding/rewinding velocity thus explains the mechanism underlying the previously observed stimulation of Sgs1 by Top3-Rmi1 (Cejka et al, 2010a). Since in the presence of RPA DNA rewinding of the Sgs1-Top3-Rmi1 complex was always slow, we conclude that Sgs1 is simultaneously interacting with RPA and Top3-Rmi1. Notably, we observed that under the applied force, Top3-Rmi1 can be trapped on ssDNA in the so-called open gate configuration (Fig EV3A), which seems to be a general property of type IA topoisomerases (Mills et al, 2018). This

conformational trapping may however be less relevant *in vivo* since it is abolished by the presence of RPA, which limits the access of Top3-Rmi1 to ssDNA. The physiological relevance of this ssDNA cleavage activity of Top3-Rmi1 may thus be limited.

As Top3-Rmi1, also RPA appears to be an important regulator of Sgs1 activity. It is essential for the recruitment of Sgs1 at high ionic strength, which is similar to *E. coli* RecQ, whose initiation is supported by SSB (Mills et al, 2017). Furthermore, RPA slows the rewinding of DNA by Sgs1 (Fig 2B, 2C) and increases the processivity of Sgs1 for unidirectional unwinding (Fig 5C). Finally, RPA increases the duration of unwinding bursts, i.e. it stabilizes the interaction of Sgs1 with the DNA substrate (Fig 5A). Altogether, these results indicate that RPA ensures that DNA is kept in a partially unwound state for longer periods of time, which may promote the end resection process.

Altogether, our data show that the various Sgs1 protein partners lead to surprisingly diverse modulations of the Sgs1 activity. We believe that these modulations allow fine tuning of DNA unwinding by Sgs1, which helps it to tackle its diverse functions to promote genome stability.

Methods

Recombinant proteins. Sgs1, RPA, Dna2 from *Saccharomyces cerevisiae* and their mutants were expressed and purified as described previously (Levikova et al, 2013, Cejka et al, 2010a, Kantake et al, 2003). In short, Sgs1 and Sgs1 (641-1215) were expressed using pFB-MBP-Sgs1-His vector and the Bac-to-Bac baculovirus expression system in Sf9 cells. The proteins were first bound to amylose resin (New England Biolabs). Afterwards, the proteins were treated with PreScission protease to remove the MBP-tag. Next, Sgs1 and Sgs1 (641-1215) were bound to Bio-Rex70 resin and Ni²⁺-NTA-agarose, eluted and dialysed (Cejka & Kowalczykowski, 2010). Yeast RPA was expressed in *Escherichia coli* from p11d-scRPA vector (a kind gift from M. Wold, University of Iowa) and purified as described for the human recombinant RPA (Anand et al, 2018). Wild type Dna2 and its mutants were expressed from altered pGAL:DNA2 vector containing N-terminal Flag and HA tags as well as C-terminal His₆ tag, in *S. cerevisiae* strain WDH668 (Solinger et al, 2001). Dna2 was purified by affinity chromatography using Ni²⁺-NTA-agarose (Qiagen) and M2 anti-FLAG affinity resin (Sigma), washed and eluted with buffer containing 3xFLAG Peptide (Sigma) (Levikova et al, 2013).

DNA substrate. The DNA construct for the magnetic tweezers experiments containing the 40 nt flap (see Fig 1A) was prepared as previously described (Levikova et al, 2013, Luzzietti et al, 2012). The main DNA fragment of 6.6 kbp in length was excised from plasmid pNLrep (Luzzietti et al, 2011) using the restriction enzymes BamHI and BsrGI. It was simultaneously digested with the nicking enzyme Nt.BbvCI to produce a 63 nt gap at an engineered site containing 5 consecutive, 15 nt spaced Nt.BbvCI sites. The gap was located approximately 0.5 kbp from the BamHI-cut end of the fragment. 63 nt of the gap were filled by hybridizing a 25 nt DNA oligomer that carried an additional 40 nt polythymidine tail on its 5'-end that served as the flap. In a subsequent ligation reaction the oligomer was ligated at its 3' end inside the gap. Furthermore, 600 bp DNA handles carrying either multiple biotin or digoxigenin modifications were attached at either end. The handle duplexes were produced by PCR in the presence biotin and digoxigenin modified nucleotides and digested with BsrGI and BamHI, respectively.

Magnetic tweezers experiments. Single-molecule experiments were carried out in a custom-made magnetic tweezers setup (Huhle et al, 2015, Klaue & Seidel, 2009) at

room temperature. Fluidic cells were prepared from two coverslips (Menzel, Braunschweig, Germany) and a Parafilm (Bemis, Oshkosh, USA) spacer. The bottom coverslip was previously coated by spin-coating using a 1% solution of polystyrene in toluene (Sigma-Aldrich, St. Louis, USA). To allow specific DNA tethering in the fluidic cell, anti-digoxigenin antibodies (Roche, Penzberg, Germany) were adsorbed to the polystyrene layer overnight from a 50 mg/ml anti-digoxigenin in standard aqueous phosphate buffered saline (PBS) solution. Subsequently, the fluidic cell was incubated with 10 mg/ml bovine serum albumin (BSA, New England Biolabs, Ipswich, USA) to prevent non-specific surface binding. 3 μ m latex beads (Life Technologies, Darmstadt, Germany) serving as reference particles and 2.8 μ m streptavidin-coated magnetic beads (Life Technologies, Darmstadt, Germany) with prebound DNA molecules were flushed into the flowcell. The beads and the DNA was allowed to bind to the surface and subsequently unbound particles were removed by washing the chamber with phosphate buffered saline (PBS). Lowering the magnets, allowed to stretch and to identify bead-tethered DNA molecules. The measurements were then performed at 300 Hz using videomicroscopy and real-time GPU accelerated image analysis (Huhle et al, 2015). One measurement usually was performed with 15-25 molecules at a time. Magnetic forces were calibrated using fluctuation analysis (Daldrop et al, 2015). Unless stated otherwise, the measurements were performed in reaction buffer (25 mM Tris-acetate pH 7.5, 2 mM magnesium acetate, 1 mM ATP, 1 mM DTT, 0.1 mg/ml BSA) using protein concentrations of 0.2 nM Sgs1, 20 nM yRPA, 0.4 nM Top3-Rmi1 and 5 nM of Dna2 or its variants.

Data analysis. Analysis of the results was performed using custom-written MATLAB program (Kemmerich et al, 2016b). Particularly, the unwinding and rewinding velocities were determined from fitting linear segments to periods of constant velocities of the recorded trajectories. For converting measured unwinding velocities in μ m/s into unwinding rates in bp/s, a conversion factor was obtained from recording force-extension curves of bare DNA construct and RPA coated construct. Errors of obtained rates and times are given as standard error of the mean (S.E.M.) throughout.

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Author contributions

P.C. and R.S. designed the study. K.K., P.C. and R.S. wrote the manuscript. K.K., F.F. and P.D. carried out the measurements and analyzed the data. M.L. and R.A. purified and characterized the employed proteins.

Conflict of interest

The authors declare that they have no conflicts of interest.

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Figures captions

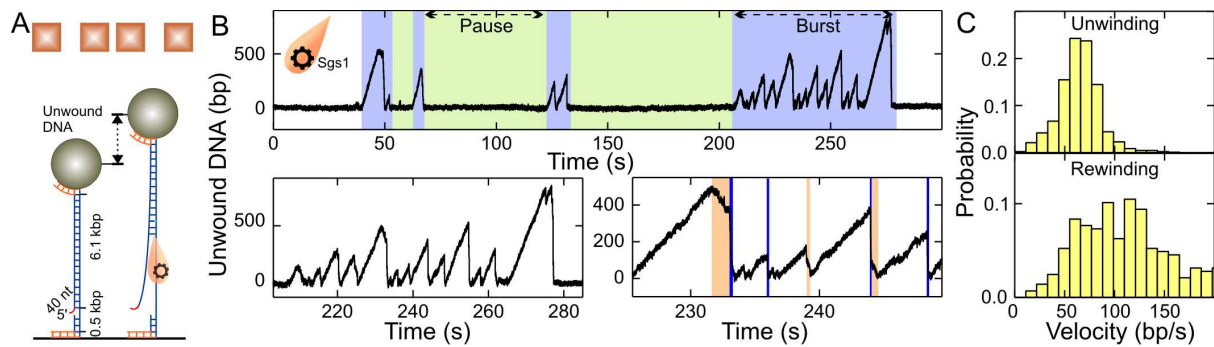


Fig 1 - DNA duplex processing by Sgs1.

A Sketch of the employed magnetic tweezers assay and DNA processing by Sgs1.

B Observed dsDNA processing patterns of Sgs1, including an overview over consecutive bursts and pauses (upper panel) as well as detailed views into individual bursts containing multiple unwinding events (lower panels). A typical unwinding event of Sgs1 starts with slow, gradual unwinding of the dsDNA followed by DNA rehybridization, that can be almost instant (76% of events, see blue sections in lower right panel) or contain slow rewinding sections (24% of events, see orange sections in lower right panel).

C Histograms of the observed unwinding and rewinding velocities for Sgs1. The mean unwinding rate was 65 ± 2 bp/s ($N = 899$). The mean rewinding rate was 115 ± 6 bp/s ($N = 287$). We attribute the large rewinding rate in the absence of RPA to an increased error in inferring the rewinding rate from the short rewinding sections.

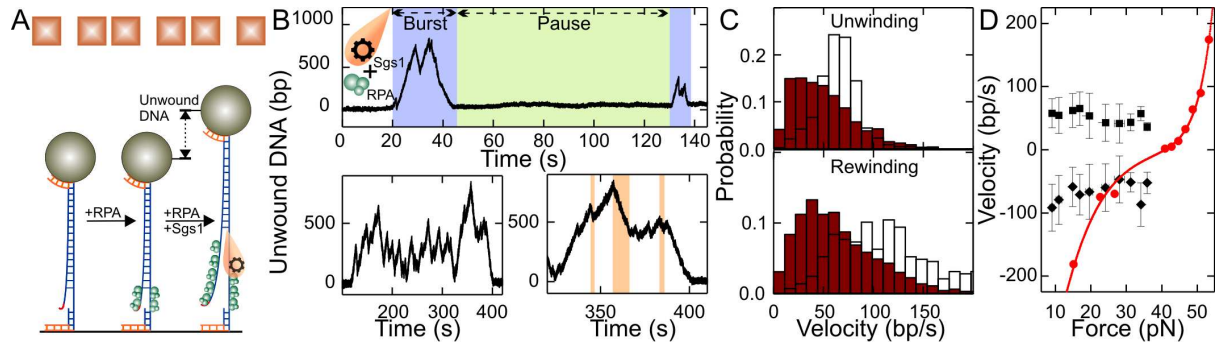


Fig 2 - DNA duplex processing by Sgs1 in the presence of RPA.

A Sketch of DNA processing by Sgs1 in the presence of RPA.

B Observed dsDNA processing pattern of Sgs1 in the presence of RPA including an overview over successive bursts and pauses (upper panel) and detailed views of single bursts (lower panels). Unwinding events are always followed by slow rewinding events (orange sections).

C Histograms of the observed unwinding and rewinding velocities for Sgs1 in the presence of RPA (brown bars). The mean unwinding rate was 51 ± 3 bp/s ($N = 2139$). The mean rewinding rate was 66 ± 3 bp/s ($N = 2091$). White bars show for comparison the distributions of unwinding and rewinding velocities for Sgs1 alone (taken from Fig 1C).

D Force-dependence of the Sgs1 unwinding (black squares) and rewinding rates (black diamonds) in the presence of RPA (errors given as standard deviations). Red circles represent the force-dependent DNA opening and closure rates measured for RPA alone (taken from Ref. (Kemmerich et al, 2016a)). A fit to this data is shown as a solid red line.).

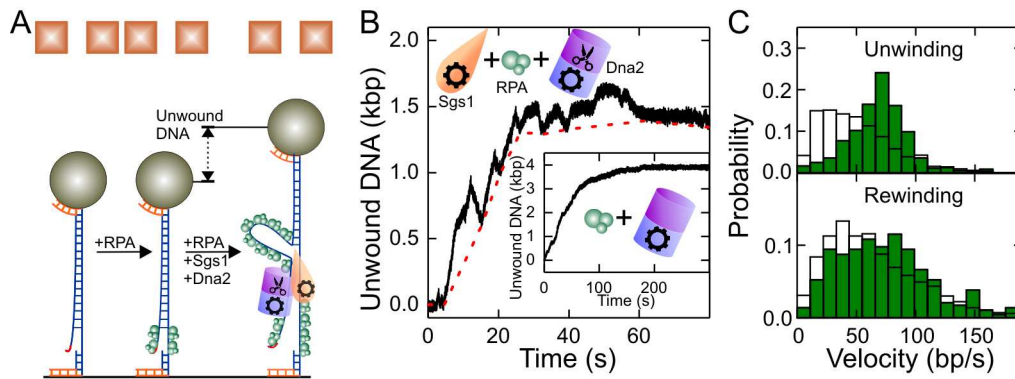


Fig 3 - DNA duplex processing by the combined activity of Sgs1, Dna2 and RPA.

A Sketch of DNA processing by the Sgs1-Dna2-RPA complex.

B Typical dsDNA processing event. The dashed red line connects the minima at the end of the rewinding sections (see text for details). The inset shows a DNA unwinding event in the presence of nuclease-dead Dna2 E675A and RPA.

C Histograms of the observed unwinding and rewinding rates (green bars) with mean values of 69 ± 3 bp/s ($N = 365$) and 74 ± 5 bp/s ($N = 282$), respectively. White bars show for comparison the unwinding and rewinding velocities of Sgs1 in the presence of RPA (taken from Fig 2C).

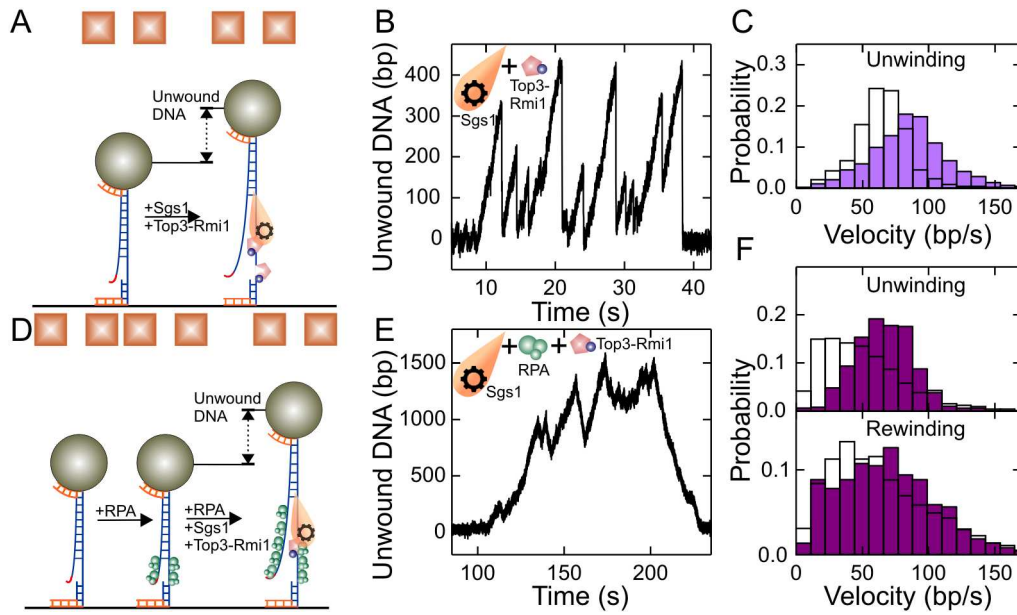


Fig 4 - DNA duplex processing by Sgs1 in the presence of Top3-Rmi1.

A Sketch of DNA processing by Sgs1 in the presence of Top3-Rmi1.

B dsDNA processing events observed for Sgs1 in the presence of Top3-Rmi1. Typical events consist of periods with slow gradual unwinding typically followed by instant DNA rezipping as seen also for Sgs1 alone.

C Histogram of the unwinding rate of Sgs1 in the presence of Top3-Rmi1 (violet bars) with a mean rate of 86 ± 3 bp/s ($N = 1101$). For comparison, the distribution of unwinding rates for Sgs1 alone is depicted with white bars (taken from Fig 1C).

D Sketch of DNA processing by Sgs1 in the presence of Top3-Rmi1 and RPA.

E dsDNA processing by Sgs1 in the presence of Top3-Rmi1 and RPA. In contrast to the absence of RPA, DNA closure is seen as a slow rewinding.

F Histograms of unwinding and rewinding rates of Sgs1 in the presence of Top3-Rmi1 and RPA (purple bars). The mean rates for unwinding and rewinding are 66 ± 2 bp/s ($N = 502$) and 70 ± 4 bp/s ($N = 369$), respectively. For comparison, the velocity distributions for Sgs1 in the presence of RPA are shown as white bars (taken from Fig 2C).

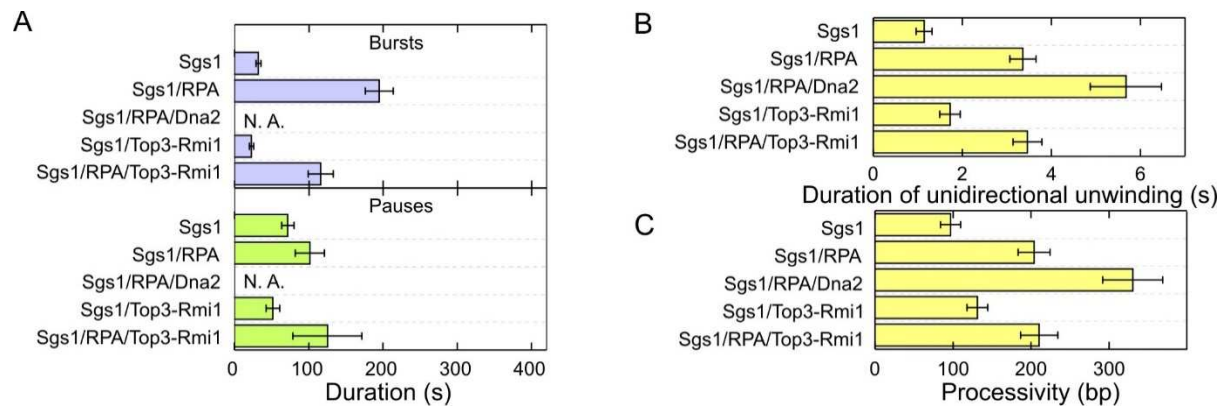


Fig 5 - Directionality, processivity and initiation of DNA processing events for the different protein combinations.

A Mean duration of bursts (violet bars) and pauses (green bars) for the different enzyme combinations.

B Mean duration of a unidirectional unwinding event.

C Mean processivity of unidirectional DNA unwinding.

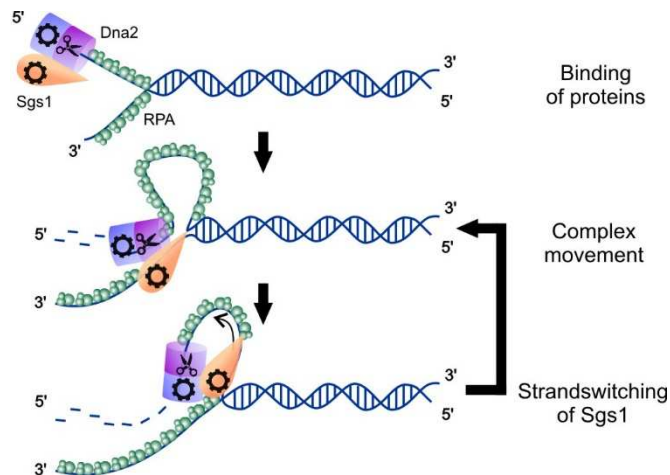


Fig 6 - Model for DNA end resection by the Sgs1-RPA-Dna2 complex.

A Sgs1-Dna2 complex binds first to a 5' DNA overhang assisted by RPA. At the DNA junction both helicases start to move unidirectionally on either of the strands. Due to the faster movement of Sgs1, this helicase powers the unwinding of the DNA duplex and causes the formation of a ssDNA loop in front of Dna2. Upon an occasional strand switch of Sgs1, the protein rewinds the DNA and moves towards Dna2. This leads to shortening of the loop. Upon encounter of Dna2, Sgs1 switches back to the original strand to power further unwinding.